2010

FIVE STAR Wealth Managers

2010 INDEPENDENT SURVEY
OVERALL SATISFACTION

Meet your Westchester 2010 FIVE STAR Wealth Managers.

We surveyed consumers, financial service professionals and *Westchester Magazine* subscribers to find wealth managers in the Westchester area who scored highest in overall satisfaction. Here they are.

Well over half of the consumer responses in the Westchester area indicated it is difficult to find a wealth manager they trust and rely on.[1] Wealth managers, broadly defined, are those individuals who help you manage your financial world and/or implement aspects of your financial strategies. Common examples of wealth managers are financial advisors, financial planners, investment advisors, tax advisors, estate planning attorneys, etc.

With more than 14,000 wealth managers[2] in the Westchester area, how do you find someone who listens to you, represents your interests and operates with an emphasis on integrity and service? *Westchester Magazine* can help. The magazine formed a partnership with Crescendo Business Services to find out which wealth managers scored highest in overall satisfaction.

The Selection Process

Crescendo administered a survey, by mail and phone, to approximately 1 in 6 high-net-worth households[3] (over 74,500 households) within the Westchester area. An additional 2,500 surveys were sent to local financial services industry professionals.

On the surveys, recipients were asked to evaluate only wealth managers whom they know through personal experience and to evaluate them based upon nine criteria: customer service, integrity, knowledge/expertise, communication, value for fee charged, meeting of financial objectives, post-sale service, quality of recommendations, and overall satisfaction.

Both positive and negative evaluations were included in the scoring. Only wealth managers with five years of experience in the financial services industry were considered.

Next, each wealth manager was reviewed for regulatory actions, civil judicial actions and customer complaints as reported by FINRA, the SEC, the State Board of Accountancy and the State Bar.

Then, before finalizing the list, wealth managers were reviewed by a blue ribbon panel comprised of individuals from within the financial services industry. Although panelist comments were incorporated into the final score, safeguards were built into the review process to reduce the ability of panel members to influence the composition of the final list on the basis of company affiliation.

An Elite Award

The resulting list of 2010 FIVE STAR Wealth Managers is an elite group, representing less than 2 percent of the wealth managers in the Westchester area. Only 206 of the top-scoring wealth managers made this year's list. For a more user-friendly listing, wealth managers have been grouped based upon their primary financial service. Each wealth manager has also listed up to three additional financial services that they provide their clients.

Although this list will certainly be a useful tool for anyone looking for help in managing their financial world or implementing aspects of their financial strategies, it should not be considered exhaustive. Undoubtedly, there are many other excellent wealth managers who, for one reason or another, are not on this year's list.

Research Declarations:

As with any research or recognition program, it is important that we provide you the following declarations:

- **The 2010 FIVE STAR Wealth Managers do not pay a fee to be included in the research or the final list of FIVE STAR Wealth Managers.**
- The overall evaluation score of a wealth manager reflects an average of all respondents and may not be representative of any one client's experience.
- The FIVE STAR Award is not indicative of the wealth manager's future performance.
- Wealth managers may or may not use discretion in their practice and therefore may not manage their clients' assets.
- The inclusion of a wealth manager on the FIVE STAR Wealth Manager list should not be construed as an endorsement of the wealth manager by Crescendo Business Services or *Westchester Magazine*.
- Working with a FIVE STAR Wealth Manager or any wealth manager is no guarantee as to future investment success nor is there any guarantee that the selected wealth managers will be awarded this accomplishment by Crescendo in the future.
- The research process for the FIVE STAR Wealth Manager Program, managed by QMI Research, incorporates a statistically valid sample in order to identify the wealth managers in the local market that score highest in overall satisfaction. QMI Research does not include wealth managers on the list unless their score is statistically valid. At least 50 percent of the wealth managers in the market have a statistically valid score.

For more information on the FIVE STAR Award and the research/selection methodology, go to: fivestarprofessional.com/wmresearch.

[1] 2009 Consumer Survey, QMI Research
[2] FINRA registered representatives, IAR's, CPA's and attorneys that provide estate planning and trust services
[3] Defined as the upper 1/3 of all households based on net-worth

2010 FIVE STAR WEALTH MANAGERS

INDEX OF WEALTH MANAGERS

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AC=Accounting; BP=Business Planning; CG=Charitable Giving; EP=Estate Planning; FP=Financial Planning; IN=Insurance; IV=Investments; TS=Trust Services; TX=Taxation

BUSINESS PLANNING

Francis Browne
Francis J. Browne Law Firm
EP

Robby Morris
The Morris Group
CG, EP, FP

ESTATE PLANNING

Marc Bergman
Bergman Marc A. Attorney at Law
BP, IV

Howard Berman
Farrauto & Berman Law Office
TX

Michael Ettinger
Ettinger Law Firm
BP, TS

Michael Getman
Law Offices of Michael Getman
BP

Herbert Nass
Herbert E. Nass & Associates
CG, TX, TS

Kevin Peters
Morgan Stanley Smith Barney
CG, FP, IV

Richard Sarner
Law Offices of Richard A. Sarner
BP, CG, TS

Philip Settembrino
Professional Financial Consultants

FINANCIAL PLANNING

William Amatuzzo
Ameriprise Financial
EP, IV

Bernard Ascher
UBS Financial Services
BP, EP, IV

Adam Belok
Gilman Ciocia - Tax & Financial Planning
IV

Robert Bennett
Allstate Insurance Company
EP

Robert Berard
Ameriprise Financial
IV

Ron Berkowitz
Fusion Financial Group
EP, IV

Joe Biegel
Strategies for Wealth/ Associated Benefit Consultants
BP, IV

Rick Bloom
Strategies for Wealth
BP, EP, IV

Robert Bouza
Ameriprise Financial
IV

FINANCIAL PLANNING

William Bredthauer
Ameriprise Financial
BP, EP, IV

Santo Briganti
Ameriprise Financial
BP, EP, IV

David Bruckman
Citrin Cooperman Wealth Management
EP, IV, TX

Andrew Buscetto
Ameriprise Financial
EP, IV

Barry Butlien
Marathon Financial Group
BP, EP, IV

Angela Celso-McGurk
Lombardi, Celso, Catinchi & Associates/Ameriprise Financial
EP, IV

James Chin
UBS Financial Services
BP, EP, IV

Bob Coffey
Ameriprise Financial
EP, IV

Vivian S. Cohn
The Highbridge Financial Group
BP, EP, IV

Steven Copeland
Safe Harbor Financial Planning
IV

John Coughlin, Jr.
Coughlin Financial Services
EP, IV

Thomas Crane
Crane Wealth Management Group
BP, EP, IV

Anthony D'Ambrosio
UBS Financial Services
CG, IV, TS

Corrado Dell'Aglio
Ameriprise Financial
BP, EP, IV

John DeSalva
Georgetown Financial Group
EP, IV

Anthony DeStefano
Opus Advisory Group

Robert DeStefano
Ameriprise Financial
EP, FP, IV

Joseph DiVestea
Merrill Lynch
BP, EP, IV

Jacqueline Endlich
Prosperity
IV

Jeffrey Farrar
UBS Financial Services
CG, EP, IV

Jeffrey Florio
Merrill Lynch
IV

FINANCIAL PLANNING

Timothy Flynn
Flynn Financial Partners
BP, EP, IV

Sidney N. Franks
The Highbridge Financial Group
BP, EP, IV

Robert Freireich
The Capital Preserve
BP, EP, IV

Vincent Gianatasio
Investors Strategy Corporation
BP, EP, IV

Donna Goldman Hirsch
Oppenheimer & Company
CG, EP, IV

Joan Gregor
Ameriprise Financial
BP, EP, IV

Ann K. Griffin
Ameriprise Financial
BP, EP, IV

Steven Gross
Ameriprise Financial
BP, EP, IV

Eileen M. Hawe
Income & Asset Advisory
IV

Michael Helgesen
Sound Financial Services

Allen Herskowitz
Money Concepts
BP, IV, TX

Arnold Hochstadt
UBS Financial Services
EP, IV

Craig Hoelzer
Morgan Stanley Smith Barney
EP, IV, TX

Joel Isaacson
Joel Isaacson & Company
EP, IV, TX

Christopher Paul Jordan
LEXCO Wealth Management
EP, IV, TS

Christopher Judge
Christopher W. Judge

Michael Kane
Ameriprise Financial
CG, IV, TX

Marcia Kaplan
Ameriprise Financial
BP, EP, IV

Scott Kaplan
Opus Advisory Group
BP, EP, IV

Paul Katz
EVC Financial Planning
BP, EP

Steve Klimaszewski
J. Matrik Wealth Management
IV

Gail Konstantin
UBS Financial Services
EP, IV

FINANCIAL PLANNING

Steven Kyriakos
UBS Financial Services
BP, EP, IV

Kjersten Lazar
Raymond James
EP, IV

Michael Leff
Michael P. Leff
IV

William Lent
Morgan Stanley Smith Barney
BP, EP, IV

Anthony Leopizzi
Leopizzi & Associates/ Ameriprise Financial
BP, EP, IV

Alan Lerner
Park Avenue Securities
BP, EP, IV

Anthony Lesica
Ameriprise Financial
BP, IV

Jeffrey Lesuk
Chase Investment Services
IV

Joseph Lombardi
Lombardi, Celso, Catinchi & Associates/Ameriprise Financial
EP, IV

Jared Lynne
Ameriprise Financial
BP, EP, IV

Michael Magnani
Ameriprise Financial
EP, IV

Denise Manning
UBS Financial Services
EP, IV, TS

Robert Mathias
Interstate Financial Group
CG, EP, IV

Michael Mazzilli
Ameriprise Financial
BP, IV, TS

Ellis O. Moore, Jr
Morgan Stanley Smith Barney
IV

Eric Nachman
Ameriprise Financial
BP, EP, IV

Jon Newman
Newman & Associates/ Ameriprise Financial
BP, EP, IV

Donald E. Newsholme
Newsholme Financial Services
EP, IV, TX

David Otto
Otto & Associates
CG, EP, IV

Deepak Panjabi
Ameriprise Financial
EP, IV

FINANCIAL PLANNING

Jeremy Paul
RLP Capital
BP, EP, IV

Michael Paulmeno
Ameriprise Financial
EP, IV, TX

Geri Pell
Ameriprise Financial
EP, IV, TX

Anthony Pereira
Capital Preservation Partners
EP, IV, TX

Damian Petta
Harvestwealth Partners
EP, IV, TS

John Pink
J Pink Associates, Financial Advisors
BP, FP, IV

John Planell
Ameriprise Financial
EP, IV, TX

Dana Preis
Northeast Planning Associates
IV

Janet Press
Janet Press Wealth Management
EP, IV

Lori Price
Price Financial Group
CG, EP, IV

Gary Ramuno
Hudson Financial Services
IV, TX

LeGrand Redfield, Jr.
Asset Management Group
EP, IV

Ronald Robins
Beacon Financial Advisors
BP, EP, IV

John C. Ross
Strategies for Wealth
EP, IV

Lisa M. Rossi
LEXCO Wealth Management
BP, IV, TS

Jonathan Russo
JMR Wealth & Retirement Planning
EP, IV

Daniel W. Saalman
Ameriprise Financial
BP, EP, IV

Andrew Samalin
Samalin Investment Counsel
EP, IV

Leonard Sander
Creative Planners Group
BP, IV, TX

Paul Santucci
Ameriprise Financial
EP, IV, TX

2010 FIVE STAR WEALTH MANAGERS

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FINANCIAL PLANNING

Patrick Schwarz
Morgan Stanley Private Wealth Management
CG, EP, IV

Thomas Sherman
Strategies for Wealth
BP, EP, IV

Joseph M. Silva
Ameriprise Financial

Gail Simons
The Highbridge Financial Group
EP, BP, IV

Matt Sinclair
New England Wealth Strategies
EP, BP, IV

Deborah A. Sinnott
Sinnott Wealth Management
EP, IV

George S. Sinnott
Sinnott Wealth Management
BP, EP, IV

Frank Sisti
Clarfeld Financial Advisors
BP, EP, IV

James C. Smith
Georgetown Financial Group
BP, EP, IV

James Steele
Morgan Stanley Smith Barney
IV

Ted Stein
Ameriprise Financial
EP, IV

Charlie Thela
Four Rivers Wealth Strategies
CG, EP, IV

Darrel Upson
Ameriprise Financial
BP, EP, IV

Robert Varriano
Clarfeld Financial Advisors
EP, IV, TS

Lili Vasileff
Divorce & Money Matters
IV

Robert Weinstein
Robert Weinstein
TX

Judith Werbitt
Merrill Lynch
EP, IV

Marian B. White
LEXCO Wealth Management
EP, IV

Cyril Wyse
Ameriprise Financial

INSURANCE

Anthony Pesce
Prudential Financial
BP, EP, IV

INVESTMENTS

Larry Arinsburg
Finesco Associates
EP, FP, TX

Edwin Badillo
J.P. Morgan
CG, EP, TS

Stephen Barbera
UBS Financial Services
BP, EP, FP

John Barnes
RBC Wealth Management
EP, FP

Charles Benway
Charles Benway CPA
FP

David Bernacchia
UBS Financial Services
EP, FP, TS

Susan Bordash
UBS Financial Services
EP, FP

Charles Bracken
Wells Fargo Advisors, LLC

Timothy Bragg
Wells Fargo Advisors, LLC

Paul Bronzo
Wells Fargo Advisors, LLC

Arthur C. Caione
Oppenheimer & Company
FP

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Bernstein Global Wealth Management
CG, EP, FP

Michael Carminucci
Carminucci Wealth Management
EP, FP, TX

Jacqueline Cicale
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BP, EP, FP

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EP, FP, TS

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FP

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TBP Advisors
CG, FP

David Eder
Merrill Lynch
EP, FP

Ann Fagan
Royal Alliance Associates
CG, EP, FP

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Janney Montgomery Scott
EP, FP

Gregg S. Fisher
Gerstein Fisher
BP, EP, FP

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Morgan Stanley Smith Barney
FP

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EP

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Young America Capital
BP, EP, FP

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Wells Fargo Advisors, LLC

Russell E. Glickstern
LPL Financial
FP

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Gary Goldberg Financial Services

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Morgan Stanley Smith Barney
FP, EP, TS

Jennifer Hatch
Christopher Street Financial
EP, FP

Howard Hirsch
Oppenheimer & Company
BP, FP

Lee Hunter
Ameriprise Financial
EP, FP, TS

Vincent Iannucci
David Lerner Associates
EP, FP, TS

Julie Jason
Jackson, Grant Investment Advisers

David Laidlaw
Laidlaw Group
TS

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FP

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UBS Financial Services
FP

Scott Menta
MetLife Financial Services
EP, FP

INVESTMENTS

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UBS Financial Services
EP, FP, TS

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MetLife Financial Services
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FP

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EP, FP

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UBS Financial Services
EP, TX

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First Investors
EP, FP, TX

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EP, FP

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Tortoise Investment Management
EP, FP

Richard Yoken
The Portfolio Strategy Group

Ed Zapson
Edward Jones

Jack Zitomer
Morgan Stanley Smith Barney
EP, FP

TAXATION

Lawrence Blau
Lawrence Blau & Associates

Steven Bortniker
Steven Bortniker CPA
BP

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Colucci Associates Accountants & Tax Consultants
BP, EP

Francis Colucci
Colucci Associates Accountants & Tax Consultants
BP, EP

Steven Eliach
Marks, Paneth & Shron
BP, CG, EP

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Rodney H. Ertischek
BP, EP, TS

Joseph Gaspari
Gaspari Financial
BP, FP, IV

Katharine Herman
Katharine G Herman CPA

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Hymes & Associates CPA
BP, EP, FP

Andrew Kenward
Andrew S. Kenward CPA
BP, EP, TS

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Niedober & Steinmetz
EP, FP

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